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# **Executive Summary**

This project applied a remote sensing approach developed by the School of Forestry to classify alternative species in small-scale plantations in the Wairarapa region. Similar to previous projects, the approach achieved an overall classification accuracy of 92.9%. Douglas-fir and Eucalyptus appeared as the two most accurately classified alternative species classes, with producer's accuracies of 96.8% and 93.8%, respectively. The key input variable selected for classification was the Digital Elevation Model (DEM), indicating that elevation significantly influences the differentiation of plantation species.

A total of 1,617 hectares of alternative species were mapped, with Eucalyptus being the most prevalent species class, constituting 35% of the total alternative species resources. Other species, including mixed species and less common alternatives, accounted for 24%. In comparison to the National Exotic Forest Description (NEFD) report, there was a notable difference of 453 hectares (17%) more than the NEFD-reported area. This study estimated substantially more Eucalyptus, Douglas-fir, and cypress than indicated by the NEFD.

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# Introduction

The New Zealand forest industry needs to diversify its plantation resources beyond reliance on radiata pine. In order to model the potential sustainable log supply from alternative species and assess how these forests could contribute to regional economic development, it is critical to understand the area and location of these resources.

Unfortunately, the National Exotic Forest Description (NEFD) for small-scale forests, including the alternative species, has been found to contain considerable inaccuracies (Manley et al., 2020). These inaccuracies create significant challenges when attempting to model sustainable log supply from these forests. The 2021 NEFD reported 1,164 ha of alternative species in the Wairarapa region, excluding Tararua district (MPI, 2021). However, but the reliability of this data is questionable, and it lacks spatial details of these resources.

An automated mapping approach for these alternative species using remote sensing has been developed by Xu et al. (2023), as part of the Specialty Wood Products (SWP) research programme, has successfully identify and accurately mapped areas of different alternative species in the Hawke's Bay region and the East Coast region, with satisfactory mapping accuracies of 92.8% and 92.9% respectively. The approach applied a Random Forest (RF) classifier using 10-metre resolution Sentinel imagery along with reference data from known locations and species. While the absence of digital reference data from small-scale forests posed challenges, the reference data used for species classification were primarily sourced from large-scale forest owners, NZDFI trials, and PSPs of alternative species managed by Scion.

This project aims to apply the approach described above to develop a spatial map representing the distribution of alternative species within the Wairarapa region. Furthermore, we will overlay the LINZ ownership boundary with the spatial map to identify the owners of these alternative species. The Wairarapa Branch of the NZFFA is interested in applying this technique, to identify the types and locations of alternative species growing in the Wairarapa, marking the initial step in a long-term project to strengthen the regional alternative species supply chain. Currently, the supply chain for these species in the Wairarapa is relatively weak, with few small-scale silviculture and harvesting contractors, few mobile sawmillers, and only one static mill processing cypress. With improved data on the growing resource, Wairarapa FFA members can forecast potential woodflows and strategically concentrate their efforts.

## Methodology

### **Study Area**

The study area is the Wairarapa region of New Zealand, which consists of the districts of Masterton, Carterton, and South Wairarapa. There are approximately 61,349 ha of plantation forests in the region, of which 98% is radiata pine (MPI, 2021). The remaining 2% (equivalent to 1,164 ha) consists of alternative species, mainly other softwoods and hardwoods, followed by eucalyptus, Douglas-fir and cypress species (Table 1).

	Douglas-fir	Cypress	Other softwoods	Eucalyptus	Other hardwoods	Total
Masterton	128	39	297	80	119	663
Carterton	52	2	75	17	66	212
South Wairarapa	6	9	29	130	115	289
Total	186	50	401	227	300	1164

Table 1: NEFD reported alternative species in Wairarapa (MPI, 2021).

### Pre-defining forest boundary

Pre-defining the geographic boundaries of alternative species is required to define the extent of classification. Without the pre-defined boundaries, the classification method tends to mistakenly identifies non-forest land covers as alternative species plantations because of their similar spectral signatures. To address this, operator was trained to manually delineate the boundary of alternative species that are sized over 0.5 ha in Wairarapa region using 0.3 m orthophotos acquired in February 2021, via LINZ Data Service (LINZ,2021).

### **Collecting reference data**

The reference data used in this study were obtained from the previous studies in Hawke's Bay and East Coast regions. In addition, reference data in Wairarapa region were also collected, which included GIS stand boundaries provided by the large-scale forest owners, additional PSP data on alternative species from Scion and species maps from NZFFA. Table 2 provides a summary of this reference data used in this study.

Within the spatial boundaries of the reference data, circular plots with a maximum radius of 50 metres were automatically and randomly generated, which were then used as the sample data for species classification. The dataset was then randomly split into 70% training and 30% validation dataset, meaning 70% of the randomly selected data was used for developing the classification and 30% was used to assess the accuracy of the classification.

Species Class	CNI and Hawke's Bay	East Coast	Wairarapa
Acacia	2,556	704	29
Cypress	13,234	1,602	377
Douglas-fir	57,764	2,024	1,144
Eucalyptus	20,554	3,801	2,622
Larch	2,092	1,091	128
Other pine	6,898	1,865	150
Other species	9,784	1,504	681
Poplar	1,960	313	409
Radiata	39,297	162	4,690
Redwood	6,845	1,166	156
Total	160,984	14,232	10,386

Table 2: Reference data collected for all studies. The numbers represent the number of pixels (10x10 m). Other species include other alternative species that are not listed in the table such as cedar and willow. Radiata pine samples were manually added as place holders in the classification. Other pines are pine species other than radiata pine.

### Remote sensing data

Sentinel-2 is an Earth Observation mission launched by the European Space Agency's (ESA) Copernicus Programme, designed to observe and collect optical imagery with high spatial resolution (ranging from 10 meters to 60 meters) of both land and coastal waters. Sentinel-2 imagery has gained popularity in forest mapping studies worldwide due to its relatively high spatial and spectral resolution. For example, Schindler et al. (2021) applied a random forest classifier to map the national extent of southern beeches in New Zealand using a temporal stack of Sentinel-2 imagery and achieved an accuracy of 87.7%. Alonso et al. (2020) classified fragmented chestnut plantations in Northwest Spain using Sentinel-2 and achieved 81.5% accuracy.

The annual national Sentinel-2 mosaics have been distributed by the Ministry for the Environment (MfE). They were processed by Manaaki Whenua - Landcare Research following a workflow developed by (Shepherd et al., 2020). The mosaic product is a 10 m, ten-band multispectral, cloud-minimised mosaic of multiple Sentinel-2A and -2B satellite images over New Zealand. The mosaic underwent pan-sharpening, atmospheric and bidirectional reflectance distribution function correction, cloud clearing and minimising process. The Sentinel mosaics that collected over the summer 2020-2021 were acquired from MfE and clipped to the extent of the Wairarapa region. The specifications of the mosaics are presented in Table 3.

Band	Band Name	Short Name	Wavelength (nm)
2	Blue	В	490
3	Green	G	560
4	Red	R	665
5	Red Edge 1	RE705	705
6	Red Edge 2	RE740	740
7	Red Edge 3	RE783	783
8	Near Infrared wide	NIR842	842
8A	Near Infrared narrow	NIR865	865
11	Short Wave Infrared 1	SWIR1610	1610
12	Short Wave Infrared 2	SWIR2190	2190

Table 3: Bands specification of Sentinel-2 mosaic.

Vegetation indices (VIs), formed through the spectral transformation of two or more spectral bands, prove valuable in discerning alterations in spectral responses associated with changes in foliage colour (Immitzer et al., 2019). In this study, total of 33 vegetation indices, known for their sensitivity to vegetation characteristics and having been utilised in previous vegetation classification research (Grabska et al., 2019; Immitzer et al., 2019; Ye et al., 2021), were extracted from the Sentinel-2 mosaic (details in Appendix 1).

Texture refers to the spatial variation of image greyscale levels as a function of scale, which can be smooth or coarse. Textural features are related to the variability of stand density, forest type (broadleaved or coniferous), crown size, crown closure, crown form, and crown closure (Fassnacht et al., 2016). They can considerably enhance the classification accuracy when combined with spectral features (Mallinis et al., 2008). In this study, after conducting Principle Component Analysis (PCA) on the Sentinel-2 mosaic, a window size of 3 by 3 was employed to compute values for the Grey Levels Co-Occurrence Matrix (GLCM). These GLCM statistics included mean, variance, homogeneity, contrast, dissimilarity, entropy, second moment, and correlation. To optimise computational efficiency and data management, the first principal band was chosen for GLCM analysis.

Phenological features were computed from analysing the temporal variation of Enhanced Vegetation Index-2 (EVI2) using Sentinel-2 data collected from 1 January 2020 to 31 December 2021 in Google Earth Engine (GEE). EVI2 was chosen because it is one of the most commonly used VIs for phenological studies, as reviewed by Caparros-Santiago et al. (2021). It was developed by Jiang et al. (2008) to address the saturation issue of the Normalized Difference Vegetation Index (NDVI) in forested areas with high biomass. Three seasonal metrics, amplitude (AMP), phase (PH) and mean EVI2 of the period, were extracted. The phase metric gauges the duration of the change in vegetation characteristics, while the amplitude indicates the magnitude of the shift relative to a reference point.

In addition, a Digital Elevation Model (DEM) was obtained from Land Information New Zealand (LINZ, 2020) and resampled to 10 m to maintain consistency with other input features. In total, 55 features were extracted (Table 4) using remote sensing software ENVI version 5.6 (ENVI, 2023).

### **Species Classification**

The random forest algorithm is a widely used machine learning method for image classification due to its excellent predictive accuracy and its ability to handle complex, high-dimensional data. The classifier consists of multiple individual decision trees that operate independently. Each decision tree within the classifier contributes by assigning a class label to a given sample, and the class with the highest number of votes is selected as the final prediction (Breiman, 2001). The species classification was conducted using the "randomForest" package (Liaw & Wiener, 2002) in statistical package R (R Development Core Team, 2023).

Due to high dimensional input features and target species classes, a feature selection process using the "VSURF" package (Genuer et al., 2015) was applied to eliminate redundant variables for classification. Following the classification, a majority filter (with 8 x 8 neighbours) was applied to the classification image to reduce the presence of isolated small pixels.

### Accuracy check

The mapping accuracy was evaluated using the commonly used method of the confusion matrix (Congalton, 2001), which compares the mapped and the actual species classes using the validation dataset. Key metrics such as the overall accuracy, producer's accuracy (PA), and user's accuracy (UA), were computed for each species class.

The classification output was clipped to the extent of manually mapped small-scale alternative species in the Wairarapa region. This enabled the calculation of the area for each species class within the mapped extent. These classified areas were then compared with the areas reported in the NEFD. Additionally, the ownership boundaries obtained from LINZ Data Service were intersected with the mapped alternative species. This intersection allowed for the identification of owner information associated with the mapped areas of alternative species.

#### Table 4: List of 55 input features used for species classification.

Abbreviation	Name	Abbreviation	Name
Spectral bands		Vegetation Indices	
Blue	Blue band	LAI	Leaf Area Index
Green	Green band	MCARI_I	Modified Chlorophyll Absorption Ratio Index – Improved
Rea		MNLI	Modified Non-Linear Index
RE/05	Red Edge 705 nm	MNDWI	Modified Normalised Difference
RE/40	Red Edge 740 nm		Water Index
RE/83	Red Edge 783 nm	MSR	Modified Simple Ratio
NIR842	Near Infrared 842 nm	MSAVI2	Modified Soil Adjusted Vegetation
NIR865	Near Infrared 865 nm		Index 2
SWIR1610	Short-wave infrared 1610 nm	MTVI_I	Modified Triangular Vegetation Index
SWIR2190	Short-wave infrared 2190 nm		– Improved
Textural GLCM Mean	Local mean of Grav-Level Co-	NDVI	Normalised Difference Vegetation Index
dion_nean	Occurrence Matrix (GLCM)	OSAVI	Optimized Soil Adjusted Vegetation
GLCM_Variance	Local variance of GLCM	RENDVI	Red Edge Normalised Difference
GLCM_Homogeneity	GLCM Homogeneity	RENDVI	Vegetation Index
GLCM_Contrast	GLCM Contrast	REPI	Red Edge Position Index
GLCM_Dissimilarity	GLCM Dissimilarity	RGRI	Red Green Ratio Index
GLCM_Entropy	GLCM Entropy	RDVI	Renormalised Difference Vegetation
GLCM_2ndMoment	GLCM 2nd Moment		Index
GLCM_Correlation	GLCM Correlation	SAVI	Soil Adjusted Vegetation Index
Phenology		NIR_R	Simple Ratio NIR/red
Mean EVI2	The average Enhanced	B_RE705	Simple Ratio blue/RE705
THUS - h	Vegetation index 2 (EVI2)	B_RE740	Simple Ratio blue/RE740
EV12 phase	The phase of EVI2	B_RE783	Simple Ratio blue/RE783
EVI2 amplitude	The amplitude of EV12	NIR_B	Simple Ratio NIR/blue
Topography		NIR_G	Simple Ratio NIR/green
DEM	Resampled 10 m Digital Elevation Model	NIR_RE705	Simple Ratio NIR/RE705
Vegetation Indices	Lievation Proder	NIR_RE740	Simple Ratio NIR/RE740
FVI2	Enhanced Vegetation Index 2	NIR_RE783	Simple Ratio NIR/RE783
GEMI	Global Environmental	TCARI	Transformed Chlorophyll Absorption Reflectance Index
CADI	Monitoring index	TVI	Triangular Vegetation Index
GARI	Index	VARI	Visible Atmospherically Resistant
GCI	Green Chlorophyll Index	WDDU	Mide Dimemia Der ze Verstetier
GI	Greenness Index	VV DKVI	Index
GNDVI	Green Normalised Difference Vegetation Index		

# **Results and Discussion**

### **Manual Mapping Results**

The manual mapping identified a total of 1,661 hectares of alternative species in Wairarapa, exceeding the NEFD-reported area by 497 hectares (

Table 5). However, when focusing solely on forests larger than 1 ha, the total mapped area was similar as the NEFD area, with only a 99 ha more than the NEFD.

	Over 0.5 ha	Over 1 ha	NEFD
Masterton District	1,051	806	663
Carterton District	231	158	212
South Wairarapa District	379	299	289
Total	1,661	1,263	1,164

#### Table 5: Alternative species manually mapped in Wairarapa

### **Classification results**

Based on previous studies (Xu & Manley, 2022, 2023), the application of VSURF feature selection proved effective in reducing the number of features while maintaining comparable classification performance to using the complete set of input features. The classification accuracy using the selected variables achieved an overall classification accuracy of 92.9% (Table 6), aligning closely with the accuracies reported in earlier studies. This consistency is expected given that the reference data for this study combines datasets from the CNI, Hawke's Bay, and East Coast regions.

Among the alternative species, Douglas-fir and eucalyptus demonstrated the highest accuracy, with producer's accuracies of 96.8% and 93.8%, and user's accuracies of 92.7% and 90.5%, respectively. These species classes are likely to be benefited from a larger amount of truthing data. In contrast, acacia exhibited the lowest producer's accuracy at 65.4%, likely attributable to a smaller truthing data set.

	Reference											
			Douglas-			Other	Other					
Prediction	Acacia	Cypress	fir	Eucalyptus	Larch	pine	species	Poplar	Radiata	Redwood	Total	UA
Acacia	645	24	14	25	1	5	10	0	4	1	729	0.885
Cypress	32	4083	56	29	39	33	45	5	18	64	4404	0.927
Douglas-fir	151	184	17684	150	57	153	390	22	90	186	19067	0.927
Eucalyptus	103	63	159	7589	32	111	183	21	59	66	8386	0.905
Larch	3	16	13	11	814	8	14	6	1	4	890	0.915
Other pine Other	26	32	38	60	9	2472	46	0	6	14	2703	0.915
species	12	64	141	44	26	32	2612	30	18	29	3008	0.868
Poplar	0	1	3	1	1	0	19	704	0	2	731	0.963
Radiata	11	46	112	148	8	12	82	4	13044	32	13499	0.966
Redwood	3	50	58	36	6	7	30	12	4	2051	2257	0.909
Total	986	4563	18278	8093	993	2833	3431	804	13244	2449	55674	
PA	0.654	0.895	0.968	0.938	0.820	0.873	0.761	0.876	0.985	0.837		0.929

Table 6: Confusion matrix of classification. It was produced based on 30% validation dataset. PA stands for producer's accuracy and UA stands for user's accuracy. Overall accuracy is 0.922 and kappa coefficient is 0.890.



## **Input Features**

Figure 1: The importance score of the selected variables for each species class.

Following the VSURF variable selection process, ten out of 55 input variables were identified as significant contributors to species classification, each playing a distinct role in the process (Figure 2). Notably, DEM emerged as the most important variable, underscoring the significance of elevation in distinguishing among alternative plantation species in the study area. This aligns with findings in other studies where DEM played a crucial role in land cover and forest species classification (Ye et al., 2021; Zhang & Yang, 2020).

Additionally, four original spectral bands, one RE (Red Edge), and two SWIR (Short-Wave Infrared) bands were recognised as important variables. Previous studies have highlighted the importance of RE and SWIR bands in forest species mapping (Immitzer et al., 2016) and land cover

classification (Schuster et al., 2012). Vegetation Indices (VIs), such as the Greenness Index (GI), are able to enhance sensitivity to vegetation properties. GI, a chlorophyll index derived from the ratio of green and red bands, has been empirically linked to leaf chlorophyll content (Glenn et al., 2008). Furthermore, one textural feature (GLCM\_Mean) was also found useful in differentiating species.

## Area Comparison

The classification output was clipped to the pre-defined boundaries to produce the area summary of alternative species in Wairarapa (Table 7). In total, 1,617 ha of alternative species were mapped. The predominant alternative species class was Eucalyptus, constituting 35% of the total alternative species resources in the region. Other species, including mixed species and less common alternatives, accounted for 383 ha.

Species Class	Area (ha)	
Cypress		177
Douglas-fir		280
Eucalyptus		561
Larch		17
Other species		383
Poplar		144
Redwood		55
Total		1,617

Table 7: Classified alternative species in Wairarapa

In comparison to the National Exotic Forest Description (NEFD) report, there was a notable difference of 453 hectares (17%) more than the NEFD-reported area (Table 8). This study estimated substantially more Eucalyptus, Douglas-fir, and cypress than indicated by the NEFD. It is worth noting that NEFD lacks spatial representation of plantation forests and the area summary for Wairarapa region may not be accurate (Manley et al., 2020).

Species Class	NEFD Area (ha)	Mapped Area (ha)
Douglas-fir	186	280
Cypress	50	177
Eucalyptus	227	561
Other	701	599
Total	1164	1617

 Table 8: Comparison of NEFD area and area mapped in this study. Note species classes were aggregated for comparison.

When overlay with the ownership boundaries, we identified 457 owners who potentially own alternative species that are over 0.5 hectares. Among them, there were potentially 276 owners who own more than 1 hectare of alternative species in Wairarapa.

# **Limitations and Opportunities**

Despite the high classification accuracy observed, certain limitations should be acknowledged. The method requires a predefined extent for alternative species, which is time-consuming and potentially contains errors. In addition, the classification accuracy of this machine learning approach is heavily reliant on the quality of the input training data. The approach may not predict beyond the input data range, so the performance of the classifier can be potentially poor when there is not sufficient reference data.

We need to acknowledge a limitation with the assumption that the accuracy and representativeness of the GIS data provided by the large-scale owners apply to the small-scale plantations. Additionally, pixel-based classification techniques may introduce noise, presenting challenges in achieving a seamless and accurate representation of alternative species distribution. Looking ahead, there is potential for expanding the scope of this research. Exploring national alternative species mapping could provide a comprehensive overview, while the collection of extensive reference data and experimentation with advanced AI algorithms could enhance the accuracy and reliability of future mapping.

# Conclusion

This study applied a random forest classifier to automatically classify minor species and achieved promising classification accuracy for most species. Random forest classification with Sentinel imagery can be used as tool for acquiring information about alternative species. Notably, the mapping in Wairarapa produced more alternative species than indicated by the NEFD. The utilisation of LINZ ownership data in conjunction with mapping allowed for the identification of owners associated with alternative species, providing opportunity for verifying the alternative species directly from individual owners.

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